

## EXAMPLE MATRIX OF AUTHORITY

Action/Event	Required Review
Amending Corporate By-Laws	<b>Executive Team</b> , Board Governance Committee, <b>Legal</b>
Capital Spending Over \$1,500,000	<b>Division CEO</b> , <b>Division CFO</b> , Consult <b>Legal</b> if needed
Real Estate Transactions Over \$1,000,000	<b>CEO</b> , <b>CFO</b> , Consult <b>Legal</b> if needed
Supplier Agreements Over \$1,500,000	<b>Division CEO</b> , <b>Division CFO</b> , <b>Legal</b>
Agreements When Non-Standard Agreement Used	<b>Division CEO</b> , <b>Division CFO</b> , <b>Legal</b>
Agreements to sell/transfer/share Intellectual Property	<b>Division CEO</b> , Division Chief Engineer, <b>IP Counsel</b>
Consulting Agreements Over \$50,000	<b>CEO</b> , <b>CFO</b> , <b>Legal</b> , <b>Board</b> if CEO, CFO or Legal determine necessary
Mergers, Acquisitions, Joint Ventures of Any Size	<b>Executive Team</b> , <b>Board</b>
Financial Fraud, Financial Statement Manipulation	<b>Legal</b> , Audit Committee; <b>Legal</b> determines others who need to know
Press Releases	<b>Chief Communications Officer</b> or their designee. Communications determines whether others should be informed
Any indication of a government investigations or litigation such as: <ul style="list-style-type: none"> <li>• receipt of a subpoena</li> <li>• execution of a search warrant</li> <li>• civil investigative demands</li> <li>• requests to interview employees</li> <li>• request for an audit or review</li> <li>• request to produce documents</li> </ul>	<b>Legal</b> . <b>Legal</b> must determine the scope of the matter, who is implicated, and then determine others who need to know.
Disclosures to any government or to any business partner including but not limited to: <ul style="list-style-type: none"> <li>• export/import violations</li> <li>• cyber security or privacy breaches</li> <li>• concerns in handling classified information</li> <li>• instances of a government overpayment; potential non-compliant conduct</li> </ul>	<b>Legal</b> . <b>Legal</b> must determine the scope of the matter, who is implicated, and then determine others who need to know.



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